Broadband, site selective and time resolved photoluminescence spectroscopic studies of finely size-modulated Y$_2$O$_3$:Eu$^{3+}$ phosphors synthesized by a complex based precursor solution method

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Undoped and Eu$^{3+}$-doped cubic yttria (Y$_2$O$_3$) nanophosphors of good crystallinity, with selective particle sizes ranging between 6 and 37 nm and showing narrow size distributions, have been synthesized by a complex-based precursor solution method. The systematic size tuning has been evidenced by transmission electron microscopy, X-ray diffraction, and Raman scattering measurements. Furthermore, size-modulated properties of Eu$^{3+}$ ions have been correlated with the local structure of Eu$^{3+}$ ion in different sized Y$_2$O$_3$:Eu$^{3+}$ nanophosphors by means of steady-state and time-resolved site-selective laser spectroscopies. Time-resolved site-selective excitation measurements performed in the $^{7}F_0 \rightarrow ^{5}D_0$ peaks of the Eu$^{3+}$ ions at C$_2$ sites have allowed us to conclude that Eu$^{3+}$ ions close to the nanocrystal surface experience a larger crystal field than those in the nanocrystal core. Under the site-selective excitation in the $^{7}F_0 \rightarrow ^{5}D_0$ peaks, energy transfer between the sites has also been observed.

1. Introduction

Luminescence nanomaterials have gathered enormous technological importance in the new millennium because they may have a number of potential advantages over conventional micron-sized ones. In particular, the image resolution on a cathode ray tube display is closely related to the particle size of the phosphors and it has been established that smaller particles are favorable for higher resolution [1]. Besides, nanophosphors offer the possibility of smoother films with higher packing densities than microphosphors and a larger percentage of cathodoluminescent active materials at low-excitation voltages due to reduced electron penetration depth [2]. On the other hand, the unique electronic structure and the numerous well defined optical transitions involving the electrons of the 4f shell in trivalent rare earth (RE$^{3+}$) ions embedded in inorganic hosts make them potential candidates for many applications, including lamp phosphors, fiber amplifiers, high density optical storage materials, and electroluminescent display devices [3–7]. Consequently, extensive research efforts have been undertaken on RE$^{3+}$-doped nanophosphors during the last two decades due to their novel size-dependent optical properties for their potential applications in the photonic and biophotonic fields of research [8].

Yttria (Y$_2$O$_3$), with cubic bixbyte structure at ambient conditions, is a transparent material from the UV (230 nm) well into the infrared (∼8 μm), is optically isotropic and hard, and accepts RE$^{3+}$ ions in the trivalent state without charge compensating problems or ion-size limitations. Besides, Y$_2$O$_3$ has one of the smallest
frequencies of the dominant phonon (380 cm$^{-1}$) among the known oxides [9], thus favoring high quantum efficiencies of the RE$^{3+}$ emitting levels. Therefore, the optical properties of bulk RE$^{3+}$-doped Y$_2$O$_3$ have been extensively studied and have shown that it is an excellent laser host material, with high brightness as a red color phosphor, acceptable atmospheric stability, reduced degradation under applied voltage and lack of hazardous constituents as opposed to sulfide phosphors [10–12]. It has a lumen equivalent brightness of 70% relative to 611 nm light and radiant efficiency of approximately 8.7% with better saturation without any detrimental effects [13] and laser action has been observed at 611.3 nm [14].

Owing to the fascinating perspectives of the industrial application of nanophosphors and RE$^{3+}$-doped yttria, a considerable effort has been made in the last years in the synthesis of Y$_2$O$_3$:Eu$^{3+}$ nanoparticles to control and improve the luminescence properties of these nanophosphors [1,5–22]. It is well known that the optical properties of the RE$^{3+}$ ions are governed by Judd–Ofelt (J–O) f–f transitions, which depend on the environment of these ions in a host matrix, and due to the static electric field produced by the surrounding charge distribution corresponding to the anion neighbors. The inter-electronic interaction between the electrons of the inner 4f shell of the RE$^{3+}$ ions and the charge of the host ligands, and distributed in an interatomic lattice surrounding the ions in a particular local symmetry, is known as the crystal-field (CF) interaction and it rules the fine structure splitting of the free-ion multiplets and the forced in–intracalional 4f–4f electric-dipole transition probabilities [23]. Consequently, the luminescence dynamics of the RE$^{3+}$ ions incorporated in nanoparticles, including the spontaneous emission and the energy transfer probabilities, depends on the environment; i.e., the host lattice, the nanoparticle size and shape, the RE$^{3+}$ ion concentration, and the symmetry of the site occupied by RE$^{3+}$ ions [24–30].

In order to analyze the influence of the RE$^{3+}$-environment on the optical properties of RE$^{3+}$-doped hosts, Eu$^{3+}$ has usually been employed as a structural probe because of the large sensitivity of its luminescence on the local environment, which takes place mainly in the visible range between the multiplets of the low energy terms, $^5D_0$ and $^7F_2$ (J = 1–4) [31,32]. However, the most important and unique feature of Eu$^{3+}$ ions is the existence of allowed $^7F_0 \leftrightarrow ^5D_0$ crystal-field transitions, i.e., transitions between singlet (non-degenerate) levels [31,32]. Consequently, it is possible to selectively excite the Eu$^{3+}$ ions in a particular environment in which absorption energy is resonant with a laser light, provided that the laser field parameters, lifetimes, homogeneous linewidths or energy transfer processes between ions in different environments in the solid [31–35].

In the present work, we report the synthesis of undoped Y$_2$O$_3$ and Eu$^{3+}$-doped Y$_2$O$_3$ nanoparticles, with selective sizes ranging from 6 to 37 nm, by a complex-based precursor solution method. Crystalline structure, morphology, and vibrational properties of the as-synthesized nanopowders have been studied by means of X-ray diffraction (XRD), transmission electron microscopy (TEM), high-resolution transmission electron microscopy (HRTEM), selective area electron diffraction (SAED), and Raman scattering measurements. Structural characterization witnesses the growth of high-quality nanophosphors. With the help of lattice dynamics ab initio calculations we have analyzed Raman scattering measurements in both doped and undoped nanocrystals and have found evidence of electronic Raman scattering of Eu$^{3+}$ ions in cubic Y$_2$O$_3$ nanophosphors, which may substitute Y$^{3+}$ ions in either of two sites C$_2$ or S$_6$ (also noted C$_3$). The photoluminescence properties of Y$_2$O$_3$:Eu$^{3+}$ nanoparticles have also been characterized and the fine size-tuning of such properties in nanocrystals is discussed with the help of broadband and time-resolved site-selective optical spectroscopies. In this context, the most interesting point, and one of the novel outcomes of this research, is that the luminescence measurements suggest a continuous variation of the crystal field on Eu$^{3+}$ ions at the C$_2$ sites in the cubic nanocrystals. Therefore, we propose the existence of different kinds of sites in Y$_2$O$_3$ nanocrystals, whose proportion depends on the nanophasor size: i) undistorted C$_2$ and S$_6$ sites, similar to those of bulk Y$_2$O$_3$, in the core of the nanocrystals; and ii) continuously-distorted C$_2$ and S$_6$ sites at the vicinity of the nanocrystal surface. Moreover, we report the presence of a band at 582.4 nm in all the nanophosphors related to the occupancy of Eu$^{3+}$ in a third site completely different to the ‘true’ C$_2$ or S$_6$ sites, which cannot be associated to other symmetries found in other Y$_2$O$_3$ nanophosphors.

2. Experimental procedure

2.1. Synthesis

Undoped and Eu$^{3+}$-doped (1 at. wt%) Y$_2$O$_3$ nanoparticles were prepared by a complex-based precursor solution method, in which triethanolamine (TEA) was used as a complexing agent [18,36]. In the synthesis, an aqueous Y(NO$_3$)$_3$ solution, along with stoichiometric amount of an aqueous Eu(NO$_3$)$_3$ solution for doped samples, was mixed with the requisite amount of TEA by maintaining metal ion to TEA mole ratio at 1:4. At the beginning, TEA formed a precipitate with metal ions likely due to the formation of metal hydroxides, like yttrium hydroxide [Y(OH)$_3$]. To get a clear solution, this precipitate was dissolved by adding concentrated HNO$_3$ to the solution. For this purpose the pH must be kept between 3 and 4 because for smaller pH, Y(OH)$_3$ decomposes and a homogenous solution is formed where TEA can make metal-coordinate complexes. The clear solution of TEA-complexed metal nitrate was evaporated on a hot plate by continuous heating at 180–200 °C with constant stirring that led to foaming and puffing. During evaporation, the nitrate ions provide an in situ oxidizing environment for TEA, which partially converts the hydroxyl groups of TEA into carboxylic acids. Upon complete dehydration, the nitrates themselves decomposed with the evolution of brown fumes of nitrogen dioxide, leaving behind a voluminous, organic-based, black, fluffy powder, i.e., the precursor powder. Complete evaporation of the precursor solution resulted in a highly branched polymeric structure, with the metal ions homogeneously lodged in its matrix and thus preventing the segregation of nanoparticles. The precursor mass was then divided into four parts and subsequently calcined and annealed at different temperatures like 500 °C, 600 °C, 800 °C and 1000 °C for 2 h in order to obtain the undoped and Eu$^{3+}$ doped Y$_2$O$_3$ nanoparticles of different sizes.

2.2. Morphological, structural, vibrational and optical characterization

Morphology, size, size dispersion, and structure of the nanocrystals at ambient conditions were analyzed by high-resolution transmission electron microscopy (HRTEM), and selected area electron diffraction (SAED) with a Tecnai G2 F20 field emission gun TEM under an acceleration voltage of 200 kV. Samples for HRTEM...
The structure was fully relaxed to their equilibrium configuration in order to obtain very well converged energies and forces. Energy was performed with the generalized gradient approximation (GGA) within the primitive unit cell is considered. For the calculation of forces and the stress tensor. In the cubic bixbyite phase (space group Th\textsubscript{ab}Ia-3), which is one of the typical structures of RE\textsuperscript{3+} sesquioxides (C-type). In this structure, Y ions are surrounded by six O ions generating an assembly of two types of distorted YO\textsubscript{6} octahedra since there are two possible positions for the Y ion: i) a site with inversion symmetry of S\textsubscript{6} (or C\textsubscript{6}) local point symmetry and, ii) a site with no inversion symmetry of C\textsubscript{2} local point symmetry, both highlighted in Fig. 1. Since there are 24 C\textsubscript{2} (24d) sites and 8 S\textsubscript{6} (8b) sites in the unit cell, there are three times more Y\textsuperscript{3+} ions at C\textsubscript{2} sites than at S\textsubscript{6} sites, whereas O atoms are located at 48e sites with C\textsubscript{1} point symmetry. On the other side, a metastable monoclinic structure can be obtained after quenching from high pressures and temperatures in micron-sized Y\textsubscript{2}O\textsubscript{3} particles [43], despite the stable structure at ambient conditions is the cubic bixbyite structure.

The morphology and sizes of Eu\textsuperscript{3+}-doped and undoped yttria nanoparticles have been analyzed by means of HRTEM measurements. All nanoparticles have mainly spherical shape as shown in Fig. 2(a). The average sizes of samples annealed at 500, 600, 800, and 1000 °C for 2 h have been estimated by measuring over 100 particles to be 6, 13, 21, and 37 nm, respectively. It is worth noting that the size dispersion obtained from the full width at half maximum (FWHM) of the size distribution peak of each batch is found to be less than ±15% of the average size [as shown in Fig. 2(b)]. Thus quite sharp and narrow distributions of nanoparticles are synthesized by the proposed method. From the HRTEM image shown in Fig. 2(c) the lattice spacing between different layers of doped yttria nanoparticles of 6 nm was calculated to be 3.2 Å, which likely corresponds to the (222) plane of cubic phase Y\textsubscript{2}O\textsubscript{3}. The distance between the planes corresponding to (222) plane in bulk Y\textsubscript{2}O\textsubscript{3} is 3.05 Å, which is smaller than the distance obtained for the nanocrystal annealed at 500 °C. Thus, from HRTEM pictures of undoped and doped yttria nanoparticles, we can conclude that for both cases the distance between lattice planes is larger for the nanoparticles, indicating an increase in the lattice parameter of the nanoparticles accompanied by the decrease in their sizes. HRTEM pictures indicate the good quality of the 6 nm sized Y\textsubscript{2}O\textsubscript{3}:Eu\textsuperscript{3+} samples annealed at the rather low temperature of 500 °C. This is further confirmed by the rather narrow rings obtained by SAED (Fig. 2(d)).

Fig. 1. Cubic bixbyite structure of Y\textsubscript{2}O\textsubscript{3}. O correspond to small (red) atoms while Y correspond to large (blue) atoms. Dark blue atoms are those located at C\textsubscript{2} sites while light blue atoms are those located at S\textsubscript{6} sites. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)
Similar XRD patterns are obtained for undoped yttria nanoparticles (not shown). It is worth noting that the XRD patterns of Eu$^{3+}$-doped and undoped yttria nanophosphors annealed at 1000 °C are similar to those reported in the literature for bulk single crystal yttria.

Two major effects were noted in the XRD patterns of both undoped and Eu$^{3+}$-doped yttria as the size of the nanophosphors decreases: i) a shift of XRD peaks towards smaller angles, and ii) a drastic increase in their FWHMs (presented in left inset of Fig. 3). While this second effect is consequence of the decrease of the nanocrystal size, the first effect can be explained by an increase in the lattice parameter as the nanoparticle size decreases. The right inset of Fig. 3 shows the change of the lattice parameter obtained for Y$_2$O$_3$:Eu$^{3+}$ nanoparticles as a function of the nanocrystal size. The lattice parameter of the Y$_2$O$_3$:Eu$^{3+}$ nanophosphors increase monotonically from 10.607 Å, which is similar to bulk yttria ($a = 10.603$ Å) [45], for an annealing temperature of 1000 °C to 10.635 Å for an annealing temperature of 500 °C. This change in the lattice parameter correlates with the decrease in the size of the particle from 37 nm down to 6 nm on going from 1000 °C to 500 °C in the thermal treatment. Thus, the lattice parameter of the Y$_2$O$_3$:Eu$^{3+}$ nanoparticles with a diameter of 6 nm is 0.33% larger than that of bulk Y$_2$O$_3$. Similarly, the lattice parameter of the undoped Y$_2$O$_3$ nanoparticles with a diameter of 6 nm is 0.26% larger than that of bulk Y$_2$O$_3$. This increase of the lattice parameter with the decrease in nanocrystal size is in agreement with previous results of Y$_2$O$_3$:Eu$^{3+}$ nanoparticles synthesized by other methods [1,36,46]. However, unlike other works, it is worth noting that our XRD patterns indicate a very good crystalline quality of all the as-synthesized nanopowders since the main peaks of the cubic phase of yttria can be observed even in the samples with the smallest size (6 nm) despite the increasing broadening of the diffraction peaks. The analysis of the peak broadening with the Hall method has allowed us to estimate that the size of crystallites agrees with that obtained from HRTEM measurements. Furthermore, we have found that the smallest nanocrystals, with sizes below 10 nm, have a strain of ca. 0.2%, whereas strain is negligible for nanocrystals with sizes above 10 nm.
3.2. Vibrational properties

According to group theory [47], the irreducible representation of the optical Raman active modes in cubic Y$_2$O$_3$ is: $\Gamma_{\text{op}} = 4A_g + 3E_g + 14T_g$. Thus, there are twenty-two Raman-active modes, where $E_g$ and $T_g$ (or $F_g$) modes are doubly and triply degenerated, respectively. The Raman-active modes of cubic Y$_2$O$_3$ can be divided into fifteen modes ($3A_g + 3E_g + 9T_g$) coming from the vibration of O ions in the 48e Wyckoff positions and seven modes ($A_g + E_g + 5T_g$) coming from the vibration of Y ions in the 24d Wyckoff positions. Curiously, Y ions located at 8b ($S_6$) sites do not contribute with any Raman-active mode and, therefore, conventional Raman scattering cannot provide information on the occupancy of these sites.

Fig. 4 shows the room temperature Raman spectra of Y$_2$O$_3$ and Y$_2$O$_3$:Eu$^{3+}$ nanoparticles obtained by annealing at different temperatures. Raman spectra of Y$_2$O$_3$ nanocrystals are dominated by the low-frequency side. These effects can be observed in the inset of Fig. 4(a) and right inset of Fig. 4(b) for the main Raman peak of Y$_2$O$_3$. The change in linewidth of the Raman modes can be explained by the inhomogeneous strain broadening associated with the small dispersion in particle size and by phonon confinement [48,49]. On the other hand, Husson et al. has reported an increase of many Raman-active mode frequencies in cubic Y$_2$O$_3$ as a result of application of pressure [50]. Since this effect can be ascribed to the contraction of Y–O bonds with increasing pressure, the decrease in the Raman frequencies we have measured at ambient conditions with the decrease in the nanocrystal size is contrary to the effect of pressure and can be ascribed to the elongation of Y–O bonds [45,50]. In fact, a similar low-frequency shift of the main Raman peaks of sesquioxides has been found on increasing lattice constant [51]. Therefore, this result is indicative of the increase of the lattice parameter of the cubic unit cell with decreasing nanoparticle size and confirms the result observed by XRD as well as HRTEM measurements previously commented.

In order to explore the nature of the extra modes appearing in the Raman spectra of Eu$^{3+}$-doped Y$_2$O$_3$ nanoparticles we have compared the frequencies of the Raman-active modes measured in Y$_2$O$_3$ nanocrystals with 37 nm size (those with the lattice parameter most similar to bulk material) with the $ab\ initio$ calculated frequencies for bulk Y$_2$O$_3$ at ambient conditions. Our experimental and theoretical frequencies and those of other experimental data

### Table 1

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<th>$\omega$ (exp.) cm$^{-1}$</th>
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* Data from Ref. [19].
reported in the literature are compared in Table 1. It is clear that the new bands observed in Eu\(^{3+}\)-doped \(Y_2O_3\) nanoparticles do not correspond to any of the first-order Raman-active modes of cubic \(Y_2O_3\). Furthermore, they seem not to correspond to any of the first-order Raman-active modes of monoclinic \(Y_2O_3\) or of \(Eu_2O_3\) clusters [52–54]; otherwise, peaks at other frequencies would be observed. Moreover, they cannot be attributed to photoluminescence from Eu\(^{3+}\) ions since our laser line (632.8 nm or 15,798 cm\(^{-1}\)) cannot excite the Eu\(^{3+}\) ions from the \(7F_0\) ground state above the \(5D_0\) level at 17,215 cm\(^{-1}\), as will be discussed in the next section. Our \textit{ab initio} calculations, which provide the frequencies of the 16 \(T_n\) infrared active modes and the \(A_{\text{u}}\) and \(E_{\text{u}}\) silent modes, seem to indicate that they do not correspond neither to infrared-active modes nor to silent modes allowed by the loss of the translational symmetry in nanocrystals. Finally, it is rather curious that these extra modes are not observed in Raman spectra of \(Y_2O_3\) nanoparticles doped with either Sm\(^{3+}\), Dy\(^{3+}\), Yb\(^{3+}\), or Er\(^{3+}\) [55–57]. Therefore, we are led to think that they are due to the activation of new vibrational modes related to Eu\(^{3+}\) ions. They can be related to a resonance effect occurring only for Eu\(^{3+}\) ions excited with the red laser, since such an effect seems not to be present even in \(Y_2O_3:Eu^{3+}\) nanoparticles excited with blue or green light [17,58].

In this respect, many papers have reported the occurrence of electronic Raman scattering of rare earths in different hosts when excited with the HeNe laser. In most cases, the frequencies of the modes measured in electronic Raman scattering match with the frequencies of the closest levels of the \(RE^{3+}\) ion to the ground state obtained by luminescence measurements, as occurs for the electronic Raman scattering of Eu\(^{3+}\) ions in YGG, YVO\(_2\), and \(Y_2O_3\) [59–61]. In other cases, electronic Raman scattering can provide information on \(RE^{3+}\) ions at sites showing no luminescence. This is the case of Ce\(^{3+}\) ions in \(Y_2O_3\) which shows resonant effects that allow obtaining the energy levels of Ce\(^{3+}\) ions located in \(C_2\) or \(C_3i\) (S6) sites depending on the excitation laser energy [62]. Since our Raman measurements do not show the characteristic peaks of the \(7F_1\) levels of the Eu\(^{3+}\) ion in C2 sites in \(Y_2O_3\) [62] the new modes observed in Eu\(^{3+}\)-doped samples could be due to levels of the Eu\(^{3+}\) ion occupying S6 sites in \(Y_2O_3\). In particular, the strong mode at 429 cm\(^{-1}\) in \(Y_2O_3:Eu^{3+}\) nanocrystals can be ascribed to electronic Raman scattering due to the transition between the \(5D_0\) ground state and the highest Stark level of the \(7F_1\) multiplet of the Eu\(^{3+}\) ions located in C3i (S6) sites [63,64]. Furthermore, the 429 cm\(^{-1}\) mode shows a frequency shift and broadening as nanocrystal size decreases similar to that of the main Raman peak of \(Y_2O_3\) as presented in the left inset of Fig. 4(b). Unfortunately, we have not been able to obtain more information regarding the nature of the extra peaks located at 452, 491 and 604 cm\(^{-1}\) whose changes of frequency, intensity, and width are more difficult to analyze for the different nanocrystal sizes. Further work is needed to clarify the nature of these bands.

In summary, the presence of Eu\(^{3+}\) ions in S6 sites is confirmed by our Raman spectroscopy measurements. The frequency shift and FWHM increase of the Raman bands of both undoped and doped nanocrystals as nanocrystal size decreases substantiates the fine size tuning of the nanophosphor as evidenced by XRD. Moreover, using structural arguments for the isomorphic substitution of the \(Y^{3+}\) ions by Eu\(^{3+}\) ions, it is expected that Eu\(^{3+}\) ions in the \(Y_2O_3\) host occupy the \(C_2\) and the \(S_6\) types of sites with approximately equal probability. Consequently, since there are about three times more \(C_2\) sites than \(S_6\) sites it is expected that there are 3 times more Eu\(^{3+}\) ions at \(C_2\) sites than at \(S_6\) sites, as already commented.

3.3. Photoluminescence properties

Optical spectroscopy, and especially the time-resolved site-selective fluorescence line narrowing (FLN) technique, also allows studying the distribution and the structure of the environments of \(RE^{3+}\) ions in solids [31,32]. For that purpose, it must be taken into account that the optical transitions between any two states of the optically active ion are governed by different selection rules for these two sites. The CF interaction can be expanded in odd and even terms allowed by group theory applied to a particular local symmetry site. The odd CF Hamiltonian is responsible for the mixing of the wavefunctions of the \(4f^6\) ground configuration with those of opposite-parity excited configurations and it gives rise to forced electric-dipole optical transitions within the ground configuration, forbidden for the free-RE\(^{3+}\) ion. On the other side, the even parity CF Hamiltonian breaks the free-RE\(^{3+}\) ion multiplets and gives rise to the hyperfine crystal-field, or Stark, energy levels structure of the RE\(^{3+}\) ion [65]. Consequently, the CF acting on the Eu\(^{3+}\) ions at C2 sites of cubic \(Y_2O_3\) contains both odd and even CF terms and, therefore, magnetic- and electric-dipole transitions are both allowed. However, for Eu\(^{3+}\) ions at S6 sites, which have a center of inversion, the CF Hamiltonian contains only even terms and, consequently, only magnetic- and vibronic-coupled electric dipole transitions can be expected [66]. These considerations suggest that nearly all the Eu\(^{3+}\) emission transitions are originated in only one of the two sites available for the Eu\(^{3+}\) ion; i.e., the C2 site.

3.3.1. Broadband excitation

The electronic energy level scheme of the Eu\(^{3+}\) ions in solids consists of seven \(7F_J\) (\(J = 0–6\)) multiplets well separated (around 12,000 cm\(^{-1}\)) from the \(5D_J\) (\(J = 0–4\)) ones and other strongly overlapped excited multiplets above the \(5D_0\) state at around 25,000 cm\(^{-1}\) [31–33]. The luminescence takes place mainly in the visible range between the multiplets of the low-energy terms, \(7F_0\) and \(7D_0\). Fig. 5 shows the room temperature emission spectra of the Eu\(^{3+}\)-doped \(Y_2O_3\) nanophosphors with sizes of 6 and 37 nm obtained under broadband excitation of the \(5F_0 \rightarrow 7L_6\) transition at around 395 nm (25,316 cm\(^{-1}\)). Note that when Eu\(^{3+}\) ions are excited to levels above the \(5D_0\) state there is a fast non-radiative multiphonon relaxation to this level because of the small energy difference between all the involved levels. However, Eu\(^{3+}\) ions decay radiatively from the \(5D_0\) level because the large energy difference to the closest \(7F_0\) level prevents the possibility of
multibonphonon relaxation. Therefore, the quantum emission efficiency of $^5D_0 \rightarrow ^7F_j$ transitions is close to the unity.

Different peaks corresponding to the $^5D_0 \rightarrow ^7F_j$ ($J = 0$–4) transitions can be observed in Fig. 5. The $^5D_0 \rightarrow ^7F_1$ (585–605 nm) transition shows magnetic-dipole character and is allowed by all the selection rules independently on the composition of the host matrix [67–69]. The $^5D_0 \rightarrow ^7F_2$ (608–635 nm) and $^5D_0 \rightarrow ^7F_4$ (685–720 nm) transitions are electric-dipole in nature and are forced by the odd CF Hamiltonian. The $^5D_0 \rightarrow ^7F_j$ ($J = 0,3,5$) emission transitions are strictly forbidden in the frame of the intermediate scheme of the Judd–Ofelt theory [70,71]; i.e., they do not obey the selection rules for the forced electric-dipole transitions: if the initial or final state is a singlet ($J = 0$) then $|\Delta J| = 2,4,6$. Therefore, the low intensities of the $^5D_0 \rightarrow ^7F_0$ (around 580 nm) and $^5D_0 \rightarrow ^7F_2$ (640–675 nm) transitions of Eu$^{3+}$ in cubic Y$_2$O$_3$ can be explained by the J-mixing effect; i.e., the mixing of wavefunctions of a given J-Stark state with those of the closer J multiplet states through the $B_{2q}$, $B_{4q}$ and $B_{6q}$ even CF parameters. This process induces an effective borrowing of intensity from the other electric-dipole transitions [69,72,73], especially from the high intense $^5D_0 \rightarrow ^7F_2$ hypersensitive transition.

According to group theory, the degeneracy of all the levels of Eu$^{3+}$ located at the low-symmetry $C_2$ site is completely lifted, giving rise to a number of Stark levels equal to $2J + 1 = 13.5,7,9$ with angular momentum $J = 0,1,2,3,4$, respectively. Since the $^5D_0$ initial emitting level is non-degenerate, the emission bands show a structure due CF splitting of the $^7F_j$ ($J = 1$–4) levels. Thus, a complete identification of the number and energy positions of the $^7F_j$ Stark components have been obtained from the peak energies, which completely fit the energy levels observed at the $C_2$ site in Y$_2$O$_3$:Eu$^{3+}$ cubic single crystals [10,74,75]. For comparison, the peak energy positions of the Eu$^{3+}$ ions in bulk Y$_2$O$_3$ single crystal have been indicated by vertical blue lines at the bottom of Fig. 5 [75]. It is worth noting that this identification is valid independently of the size of the nanophosphor, since no additional peaks, which could be related to other phases, have been observed in any of the nanophosphors, except for the weak peak at around 582.4 nm that will be discussed later. Thus, it can be concluded from luminescence measurements that, with the present method of synthesis, a cubic structure has been obtained for all the Y$_2$O$_3$ nanophosphors, except for the weak peak at around 582.4 nm, which is present in all Eu$^{3+}$-doped nanophosphors.

Important differences in the linewidths of the emission peaks are observed for nanocrystals with different sizes (see Fig. 5 and the inset). All emission peaks show a large broadening for the smallest nanophosphors (6 nm), which is especially evident when comparing the $^5D_0 \rightarrow ^7F_{3,4}$ emissions. With the increase of the annealing temperature and the size of the nanoparticles, the emission bands become more defined and sharper, resulting in a spectrum quite similar to that measured in bulk Y$_2$O$_3$. These results indicate that: i) all Eu$^{3+}$ ions occupy the same crystallographic position ($C_2$ site) in the core of the nanophosphors, which is the same as in the bulk material, and named hereafter as the ‘true’ $C_2$ site and, ii) in the smallest nanophosphors there is an inhomogeneous broadening of the emission profiles due to the existence of a continuous distribution of $C_2$ environments for the Eu$^{3+}$ ions related to distortions, or relaxations, of the yttria structure closer to the surface of the nanoparticles in which a higher segregation of Eu$^{3+}$ ions to the surface occurs. It is evident that higher annealing temperatures favor the generation of a nanomaterial with cubic structure of larger size and better crystallinity and with homogeneous location of Eu$^{3+}$ ions in ‘true’ $C_2$ sites. A similar behavior can be assumed for those Eu$^{3+}$ ions located at the $S_8$ sites (observed in electronic Raman scattering) as the nanocrystal size increases.

3.3.2. Time-resolved site-selective spectroscopy

To obtain more information about the inhomogeneous broadening of the emission bands in the smallest nanocrystals, the $^7F_0 \rightarrow ^5D_0$ transitions between singlet (non-degenerate) levels have been analysed in detail. These transitions give single peaks that cannot be split by any local point-symmetry crystal field around the Eu$^{3+}$ ion. Consequently, any additional peak observed for these transitions should be directly related to other available Eu$^{3+}$ sites in the nanophosphors of the cubic phase or another one, either crystalline or amorphous.

The $^5D_0 \rightarrow ^7F_0$ and $^5D_0 \rightarrow ^7F_1$ transitions for four different nanophosphors are shown in the inset of Fig. 5. It can be clearly observed that there is a broadband contribution to the short-wavelength side of the $^7F_0 \rightarrow ^5D_0$ transition for the smallest nanophosphors (those annealed at 500 and 600 °C). This broad-band overlaps with the single peak associated to Eu$^{3+}$ ions in the ‘true’ $C_2$ site at 581.2 nm and also with the peak associated to Eu$^{3+}$ ions in a second phase around 582.4 nm, which is present in all Eu$^{3+}$-doped nanophosphors.

We have performed time-resolved excitation spectra associated to the $^7F_0 \rightarrow ^5D_0$ transition in the smallest Eu$^{3+}$-doped nanoparticles (6 nm) and obtained by detecting the $^5D_0 \rightarrow ^7F_1$ transition at 13 K under a pulsed laser excitation (Fig. 6). A broadband detection at 593.0 nm has been chosen since a contribution from all the environments and sites of the Eu$^{3+}$ ions to this emission is expected. The spectra are presented normalized to the emission of the Eu$^{3+}$ ions in the ‘true’ $C_2$ site at 581.2 nm. The spectrum taken 30 μs after the laser pulse resembles that of the steady state emission spectrum of Fig. 5 except that the peak at 582.4 nm is completely masked by the emission of the Eu$^{3+}$ ions in the ‘true’ $C_2$ site. The large broadband contribution to the short-wavelength side of this single peak is due to the overlapping of homogeneous $^5D_0 \rightarrow ^7F_0$ spectra of Eu$^{3+}$ ions located at different environments or sites in the nanophosphor. Therefore, many emissions have slightly different energies than those of Eu$^{3+}$ ions in the ‘true’ $C_2$ site. Increasing the time delay after the laser pulse results in a faster decay of the emissions from these environments compared to the emission of Eu$^{3+}$ ions in the ‘true’ $C_2$ site. Furthermore, both emissions decay much faster than the emission of the second phase, which can be seen with an increasing intensity with the time delay respect to the ‘true’ $C_2$ site.

To interpret these results it must be recalled that XAS measurements of Qi et al. observed an increase of structural disorder in Y$_2$O$_3$:Eu$^{3+}$ nanophosphors on decreasing size from 40 to 9 nm [16]. They concluded that Eu$^{3+}$ and Y$^{3+}$ ions located near the surface of
the nanoparticles had a higher coordination than those far from the surface and this result was observed for the two phases coexisting in the nanoparticles: one crystalline Y$_2$O$_3$:Eu$^{3+}$ and one amorphous unknown phase. In this context, Song et al. [76], suggested that the proportion of surface atoms in Y$_2$O$_3$:Eu$^{3+}$ nanocrystals is quite high (about 80% for ~5 nm particles) compared to bulk sample (less than 1% for ~3 nm sample), thus enhancing the proportion of local displacement and making the near surface of the nanozized particle particularly unstable. Moreover, an amorphous phase has been also observed in several works reporting monoclinic and cubic Y$_2$O$_3$ nanophosphors, where it has been suggested that they could be due to facetting between nanoparticles, necks between particles, the presence of adsorbates or particularities in the chemistry of the particle's surface [20,43,44].

On the basis of the above arguments, we tentatively attribute the broadband contribution in the smallest nanophosphors to Eu$^{3+}$ ions in a distribution of environments with an increasing fluctuation of their local structures due to differences in the Eu$^{3+}$-ligand bond distances and angles when reaching the surface of the nanoparticle. In fact, since the broad shoulder appears at the short-wavelength side of the $^7$F$_0 \rightarrow ^5$D$_0$ excitation we can assume that this broadband is associated to Eu$^{3+}$ ions located in environments that feel stronger CF interactions with their ligands. Thus, in 6-nm-size nanophosphors, there would be a large dispersion of environments for the nanostructures with distorted cubic structure leading to stronger CF than those present in the ‘true’ C$_2$ cubic sites (observed in the nanostructures with larger sizes) of the bulk material. In other words, the ‘true’ cubic C$_2$ site would act as a ‘parent structure’ that, after suitable distortions, would give rise to all the distribution of environments for the Eu$^{3+}$ ions present in the smallest nanophosphors. This hypothesis is supported by the strain and the large increase of the lattice parameter that we have measured in the smallest nanocrystals. These two facts allow a large proportion of Eu$^{3+}$ ions to reside in distorted environments of the ‘true’ C$_2$ and S$_6$ sites of the cubic structure. A hypothesis coherent with the large segregation of Eu$^{3+}$ ions to the surface in the smallest nanophosphor. Note that in the largest nanocrystals studied (37 nm size) there is a negligible strain and the lattice parameter is similar to that of the bulk Y$_2$O$_3$ single crystal; thus, all Eu$^{3+}$ ions reside in ‘true’ C$_2$ and S$_6$ sites.

The existence of a variety of local structures in our smallest nanophosphors becomes evident after exciting selectively with laser light within the $^7$F$_0 \rightarrow ^5$D$_0$ excitation profile. Fig. 7 presents the time-resolved site-selective spectroscopy (FLN) emission spectra of the $^5$D$_0 \rightarrow ^7$F$_1$ transition exciting selectively the high-energy side of the $^7$F$_0 \rightarrow ^5$D$_0$ band. Differences in the local sites of the Eu$^{3+}$ ions in the nanophosphor are clearly reflected in the number of peaks and the emission wavelengths of the transitions to the three $^7$F$_1$ Stark levels, especially for the emission to the $^7$F$_1$ lowest energy Stark component that shows a large sensitivity with the laser excitation [33–35]. As shown in Fig. 7, three peaks are observed for the $^5$D$_0 \rightarrow ^7$F$_1$ transition after the excitation of Eu$^{3+}$ ions at 581.2 nm. They confirm the existence of a low symmetry and weak CF acting on Eu$^{3+}$ ions in the ‘true’ C$_2$ site. However, when exciting at lower wavelengths in the range from 578.0 to 580.4 nm within the inhomogeneous broadband contribution, some extra peaks are observed at around 587.4 and 596 nm. These extra peaks could be ascribed to emissions of the Eu$^{3+}$ ions both at the C$_2$ sites, for the peak at 587.4 nm, and at the second site, for the peak at 596.0 nm, after a simultaneous laser excitation or due to energy transfer processes between Eu$^{3+}$ ions at different sites or environments [77]. In order to selectively excite the second site, the FLN technique has been applied within the $^5$D$_0 \rightarrow ^5$D$_1$ transition exciting at 527.0 nm at 13 K and its emission spectrum is shown in Fig. 7. Apart from the emission peak at 582.4 nm, there is a structured emission in the 592–597 nm range that can be correlated with the extra peak at around 596.0 nm observed in the emission under selective excitation at the short-wavelength side of the $^5$D$_0$ band. In this respect, Tissue and Yuan [44] have identified different contributions in the excitation spectrum of Eu$^{3+}$ in 5-nm-size Y$_2$O$_3$:Eu$^{3+}$ nanophosphors due to the coexistence of phases: the cubic Y$_2$O$_3$ structure, the monoclinic Eu$^{3+}$:Y$_2$O$_3$, and the monoclinic Eu$_2$O$_3$. Since Eu$^{3+}$ ions in the monoclinic nanostructures may occupy three different sites (A, B, C) [44,78], three relatively broad emission peaks should be observed for the $^5$D$_0 \rightarrow ^7$F$_0$ transition at around 578.5–579, 582.2 and 582.4 nm in the smallest nanoparticles [79]. After annealing, those peaks should become narrow at around 578.5, 582.2 and 582.4 nm for monoclinic Eu$_2$O$_3$ and slightly shifted at 579.2, 582.6 and 582.9 nm for monoclinic Y$_2$O$_3$:Eu$^{3+}$ [44]. Similarly, Jang et al. have shown by site-selective spectroscopy that new peaks appear in the excitation spectra of the $^7$F$_0 \rightarrow ^5$D$_0$ transition in cubic Y$_2$-$x$Gd$_x$O$_3$:Eu$^{3+}$ when a monoclinic structure is developed on increasing Gd content [80], being the most prominent peaks due to Eu$^{3+}$ emission those of the B and C sites which are located around 582.0 nm, while the peak corresponding to the A site (located around 578.7 nm) is of smaller intensity. It is noteworthy that only the broadband around 582.4 nm is observed in the steady state luminescence of our nanophosphors (Fig. 5). This result could be consistent with the residual presence of the monoclinic phase in our samples (not observed by XRD and Raman measurements) despite the lifetimes of this secondary phase (9 ms) are larger than those in monoclinic Eu$_2$O$_3$ nanophosphors (a few hundreds of microseconds) and than those in monoclinic Y$_2$O$_3$:Eu$^{3+}$ (1–2 ms) according to Ref. [81]. In this respect, the longer lifetime measured in our nanophosphors could be related to the presence of trap centers in the monoclinic phase. However, the possibility that emissions different from those of the Eu$^{3+}$ ions at C$_2$ sites could belong to the $^5$D$_0 \rightarrow ^7$F$_1$ magnetic dipole-allowed emissions of Eu$^{3+}$ ions at S$_6$ sites cannot be disregarded [82].

3.3.3. Crystal-field analysis

Since the CF strength experienced by the Eu$^{3+}$ ions is a measure of their electrostatic interaction with the surrounding O$^{2-}$, the higher CF strength present in the surface of the nanophosphors must be due to the larger charge density and/or to a smaller Eu–O
distance near the surface. The higher coordination of the Eu\(^{3+}\) ions in the smallest nanophosphors found by XAS measurements [16] lead us to think that the cause of the larger CF near the surface of the smallest nanocrystals must be due to a larger charge density around Eu ions near the nanocrystal surface. The higher coordination of Eu\(^{3+}\) ions near the nanocrystal surface is usually related to larger Eu–O distances, what in fact is in agreement with the increase of the lattice parameter as the nanocrystal size decreases.

The average strength of the CF acting on the Eu\(^{3+}\) ions in Y\(_2\)O\(_3\):Eu\(^{3+}\) nanophosphors can be estimated from the splitting of the \(^7F_1\) multiplets into three Stark levels. From the FLN measurements, the positions of the \(^7F_1\) Stark levels with respect to \(^7F_0\) ground level are collected and plotted as a function of excitation wavelength in the inset of Fig. 8. In a first approximation, if the J-mixing is neglected, only the second rank real CF parameters, B\(_{20}\) and B\(_{22}\) of the even CF Hamiltonian will affect significantly to the breakdown of the degeneracy of the \(^7F_1\) term into three Stark levels [65,69,77]. Due to the almost symmetrical splitting observed for the three \(^7F_1\) Stark levels with the CF increase, the B\(_{22}\) parameter should exhibit a large variation in magnitude, while the B\(_{20}\) axial parameter should be rather low and will not affect significantly to the splitting [65,69,83]. Auzel and Malta [84] have tried to simplify the CF description defining a scalar, rotational invariant parameter called the CF strength that, for the C\(_2\) local symmetry, takes the form,

\[
N_V(B_{2q}) = \sqrt{\frac{4\pi}{2k+1}} \left( B_{20}^2 + 2B_{22}^2 \right),
\]

that can be also easily related to the maximum splitting of the \(^7F_1\) level [85],

\[
N_V(B_{2q}) = \sqrt{\frac{2}{0.3/\pi}} \Delta E_{\text{MAX}}(^7F_1),
\]

where \(E_c\) is the barycentre of energy of the \(^7F_1\) level, calculated as the mean energy of the corresponding three Stark levels, whereas \(E_C\) is the energy of the central Stark level.

The second rank CF strength parameter \(N_V(B_{2q})\) is shown in Fig. 8 as a function of the experimental maximum splitting of the \(^7F_1\) manifold, \(\Delta E_{\text{MAX}}(^7F_1)\), obtained from the \(^7F_1\) splitting shown in the inset. Its value is larger than those usually found in glasses [33,35,69,77,82]. Furthermore, the almost symmetrical splitting of the \(^7F_1\) Stark levels observed in Y\(_2\)O\(_3\):Eu\(^{3+}\) gives rise to an almost constant factor between the CF strength and the maximum splitting of the \(^7F_1\) level of 0.218 for all the environments, giving rise to the linear dependence shown in Fig. 8, as predicted by Malta et al. [85].

A different perspective is obtained if the J-mixing is taken into account. Görller-Walrand and Binnemans [65] have re-defined the ‘weak CF’ (J-mixing negligible) and ‘strong CF’ (J-mixing unnegligible) for the RE\(^{3+}\)-doped systems. According to this definition, a distribution of environments under weak-medium (300 < \(\Delta E_{\text{MAX}}(^7F_1)\) < 450 cm\(^{-1}\)) and strong (\(\Delta E_{\text{MAX}}(^7F_1)\) > 450 cm\(^{-1}\)) Cs can be obtained for our nanophosphors. If a structural model dares to explain the inhomogeneous distribution of Eu\(^{3+}\) environments it has to take into account that: i) the weakest CF environment for the Eu\(^{3+}\) ion is that at the ‘true’ C\(_2\) cubic site, and ii) there are no sharp changes in both the emission intensities and the \(^7F_1\) splitting when moving the excitation to stronger CF environments to the high-energy side of the \(^5D_0\) band profile. Under this viewpoint, it is possible to understand the continuous distribution of environments for the Eu\(^{3+}\) ions in the smallest nanophosphors as a continuous distortion of the ‘true’ C\(_2\) site of the cubic Y\(_2\)O\(_3\) structure as Eu\(^{3+}\) ions get closer to the nanocrystal surface. In this sense, stronger CF environments are obtained by successive distortions of the C\(_2\) site parallel to the increase of the CF strength, which give rise to larger splitting of the multiplets as the nanocrystal size decreases. We can speculate that in the smallest nanophosphors, as those with 6 nm size, the presence of dangling bonds at the surface can contribute significantly to the local distortion of the large proportion of Eu\(^{3+}\) ions near the surface.

To finish we would like to stress that our hypothesis of the continuous distortion of the C\(_2\) sites suggested by site-selective excitation is supported by the absence of extra peaks associated to secondary phases in the XRD pattern, in the Raman spectrum, and in the steady-state Eu\(^{3+}\) luminescence of our smallest nanophosphors. Furthermore, it is consistent with XAS measurements that evidence a larger structural disorder, leading to drastic changes in the local structure, as Y\(_2\)O\(_3\) nanoparticles become smaller [16]. In this way, Eu\(^{3+}\) ions closer to the surface of the cubic Y\(_2\)O\(_3\) nanoparticles would have a coordination closer to 9 (in the distorted C\(_2\) sites) than to 6 (in the ‘true’ C\(_2\) sites), and the increase in coordination would explain the stronger CF felt by Eu\(^{3+}\) ions near the surface than in the core. Note that the above explanation is different to that of Qi et al. [16] who related the disorder to the existence of an amorphous phase coexisting with the ‘true’ C\(_2\) site, instead of consider this site as the ‘parent structure’ for the Eu\(^{3+}\) environments in the small nanophosphors.

4. Conclusions

Undoped and Eu\(^{3+}\)-doped cubic yttria nanoparticles with considerable good crystallinity have been synthesized by means of a complex-based precursor solution method. Depending upon the temperature of the annealing treatment, nanophosphors with sizes between 6 and 37 nm, all showing narrow size distributions, have been obtained. No amorphous or secondary phases, like monoclinic Y\(_2\)O\(_3\) or Eu\(_2\)O\(_3\), have been detected in the nanocrystals. While nanoparticles of 37 nm have similar lattice parameters than bulk cubic yttria, the lattice parameter in the nanoparticles increases up to 0.26% (in undoped Y\(_2\)O\(_3\)) and 0.33% (in Eu\(^{3+}\)-doped Y\(_2\)O\(_3\)) as the particle size decreases from 37 to 6 nm. Raman scattering spectra of Eu\(^{3+}\)-doped nanoparticles have shown four more bands than undoped nanoparticles. The origin of three of them is unknown, but one clearly corresponds to electronic Raman scattering originated from Eu\(^{3+}\) ions in S\(_6\) sites, which confirms that Eu\(^{3+}\) ions substitute Y\(^{3+}\) ions in both C\(_2\) and S\(_6\) sites of the cubic structure.

Fig. 8. Scalar crystal-field strength parameter \(N_V\) as a function of the \(^7F_1\) maximum splitting \(\Delta E(\^7F_1)\). The solid line indicates the fit to the theoretical expression of Malta et al. [see text]. Inset shows the \(^7F_1\) splitting as a function of the \(^5D_0\) excitation wavelength. Open squares indicate those values associated to the Eu\(^{3+}\) ions at the ‘true’ C\(_2\) site.
Size-dependent photoluminescence emission spectra have been measured in Y2O3:Eu3+ nanoparticles. In 37 nm-sized samples, emissions are similar to bulk yttria in which Eu3+ ions are located preferentially in the cubic C2 sites. However, in 6 nm-size samples, the 5D0 → 7F2 transition consists of a high-energy broadband contribution overlapped with two sharp peaks. The sharp peaks of the 5D0 → 7F2 transition should correspond to crystalline phases within the core of the nanophosphors. One of them is due to Eu3+ in the C2 cubic site of Y2O3 nanophosphor and the other could be tentatively assigned to a residual monoclinic structure of Y2O3 present in the nanocrystals. However, it is possible that they belong to 5D0 → 7F1 magnetic-dipole allowed emission of Eu3+ ions at 50 nm sites. Finally, site-selective excitation in the 7F2 → 5D0 peaks has allowed us to associate the broadband contribution to a continuous distortion of the C2 site caused by a large disorder, especially near the surface, found in the smallest nanophosphors.

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